## IAP7 Rec'd PCT/PTO 10 JUL 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
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| T   | RANSMITTAL LETTER TO THE UNIT  | ATTORNEY'S DOCKET NUMBER<br>6102-000013/US/NP |                          |  |  |  |  |  |
|---|--|---|--------------------------|--|--|--|--|--|
| CO  | DESIGNATED/ELECTED OFFICE (D<br>NCERNING A SUBMISSION UNDER  |   | Ng. 15 kg ( 6 8 9 R 1.5) |  |  |  |  |  |
|   |  | Ι   |                          |  |  |  |  |  |
|   | RNATIONAL APPLICATION NO.<br>EP2004/014656   | INTERNATIONAL FILING DATE December 23, 2004   |                          | PRIORITY DATE CLAIMED  December 24, 2003 |  |  |  |  |
|   | OF INVENTION   |   |                          |  |  |  |  |  |
| USE   | OF SUBSTITUTED 2-AMINOTETRALINS  | FOR PREVENTIVE                                | TREATMENT O              | F PARKINSON'S DISEASE                    |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US   |  |   |                          |  |  |  |  |  |
| SCHELLER, Dieter, DRESSEN, Frank  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |  |   |                          |  |  |  |  |  |
| 1.   This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.   |  |   |                          |  |  |  |  |  |
| 2.  | ☐ This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.  |   |                          |  |  |  |  |  |
| 3.  |  |   |                          |  |  |  |  |  |
| 4.  |  |   |                          |  |  |  |  |  |
| 5.  |  |   |                          |  |  |  |  |  |
| J.  | <ul> <li>         \[             \lefta \text{copy of the International Application as filed (35 U.S.C. 371(c)(2))}         \]         a.          \[             \lefta \text{is attached hereto (required only if not communicated by the International Bureau).}         \] </li> </ul> |   |                          |  |  |  |  |  |
|   | b. As been communicated by the In  |   | , ave miemalena. Di      |  |  |  |  |  |
|   | c.  is not required, as the application  | was filed in the United                       | States Receiving O       | ffice (RO/US).                           |  |  |  |  |
| 6.  | .   An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).   |   |                          |  |  |  |  |  |
|   | a. 🛛 is attached hereto.   |   |                          |  |  |  |  |  |
|   | b.  has been previously submitted unde   | r 35 U.S.C. 154(d)(4).                        | •                        |  |  |  |  |  |
| 7.  |  |   |                          |  |  |  |  |  |
|   | a. are attached hereto (required only if not communicated by the International Bureau).  |   |                          |  |  |  |  |  |
|   | <ul> <li>b.  have been communicated by the International Bureau.</li> <li>c.  have not been made; however, the time limit for making such amendments has NOT expired.</li> </ul>   |   |                          |  |  |  |  |  |
|   | d. have not been made and will not be  |   | acii amenamenta na       | з нот ехриса.                            |  |  |  |  |
| 8.  | ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)   |   |                          |  |  |  |  |  |
| 9.  | ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).   |   |                          |  |  |  |  |  |
| 10.   | 0. An English language translation of the amendments to the claims under PCT Article 36 (35 U.S.C. 371(c)(5)).   |   |                          |  |  |  |  |  |
| Iten  | ns 11 to 20 below concern document(s) or inf   | ormation included:                            |                          |  |  |  |  |  |
| 11.   | ☐ An Information Disclosure Statement under  | 37 CFR 1.97 and 1.9                           | 8.                       |  |  |  |  |  |
| 12.   | ☐ An assignment document for recording. As is included.  | separate cover sheet i                        | in compliance with 3     | 7 CFR 3.28 and 3.31                      |  |  |  |  |
| 13.   | □ A preliminary amendment.   |   |                          |  |  |  |  |  |
| 14.   | . 🛛 An Application Data Sheet under 37 CFR 1.76.   |   |                          |  |  |  |  |  |
| 15.   | A substitute specification.  |   |                          |  |  |  |  |  |
| 16.   | A power of attorney and/or change of address letter.   |   |                          |  |  |  |  |  |
| 17.   | .   A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.   |   |                          |  |  |  |  |  |
| 18.   | 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).  |   |                          |  |  |  |  |  |
| 19.   | ☐ A second copy of the English language tran   | slation of the internati                      | ional application und    | er 35 U.S.C. 154(d)(4).                  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 07-2005)
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| U.S.  |   | ON NO. (if known  | see 37 ČFR   | INTERNATIONA                                | L APPLICA                             | TION NO.                                | ATTORNEY'S DO          | CKET NUMBER |
|---|---|-------------------|--------------|---|---------------------------------------|---|------------------------|-------------|
| <u> </u>  |   | PCT/EP2           | 2004/014     | 656   | 6102-000013/US/NP                     |   |                        |             |
| 20.   | Other   | r items or inforr | mation:      |   |                                       |   | I                      |             |
|   |   |                   |              |   |                                       |   |                        |             |
|   | The follow  | ving fees have l  | been         |   |                                       |   | CALCULATIONS           | PTO USE     |
| 21.   | Ø   | Basic             | tions        | · • • • • • • • • • • • • • • • • • • •     | (37                                   | CED                                     | * 000 00               | ONLY        |
|   | 1.492(a)).  |                   |              | \$300                                       | (37                                   | CFR                                     | \$ 300.00              |             |
| 22.   | 22. Examination fee (37 CFR 1.492(c))   |                   |              |   |                                       |   |                        |             |
|   | If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisy provision of PCT Article 33(1)-(4)\$0 |                   |              |   |                                       | \$ 200.00                               |                        |             |
|   | All situations.   |                   |              | •     | \$2                                   | other<br>200                            |                        |             |
| 23.   |   | n fee (37 CFR 1   |              |   |                                       |   |                        |             |
|   | If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)       |                   |              |   |                                       | ons of PCT<br>33(1)-<br>\$0             |                        |             |
| to the USPTO as an International Searching Authority<br>\$100  International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400  All other   |   |                   |              |   | \$ 400.00                             |   |                        |             |
| ļ   |   |                   |              |   | \$5                                   | 500                                     |                        |             |
| <u> </u>  |   | F 21, 22 and 2    |              | dente di di                                 | · · · · · · · · · · · · · · · · · · · | 100                                     | \$ 900.00              |             |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium)(37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |   |                   |              |   |                                       |   |                        |             |
| Total   | otal Sheets   Extra Sheets   Number of each additional 50 or fraction   RATE   thereof (round up to a whole number  |                   |              | ·   |                                       |   |                        |             |
|   | -100=   | /50=              |              |   |                                       | X \$250                                 | \$                     |             |
| Surch   | narge of \$13   | 30 for furnishing | g any of the | search fee, examinate of the national stage | tion fee, or                          | the oath or                             |                        |             |
| CLAII   |   | NUMBER            |              | NUMBER EXTRA                                | RATE                                  | 1.432(11)).                             | \$                     |             |
|   | Claims  | 20-20=            |              | , , , , , , , , , , , , , , , , , , ,       | X \$50                                |   | \$ 00.00               |             |
| Indep<br>claim:   | endent<br>s   | 2-3=              |              |   | X \$200                               |   | \$ 00.00               |             |
| MULT  | ΓIPLE DEPI  | ENDENT CLAI       | M(S) (if app | licable)                                    | + \$360                               |   | \$ 00.00               |             |
| TOTAL OF ABOVE CALCULATIONS=  |   |                   |              |   |                                       | \$ 900.00                               |                        |             |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.   |   |                   |              |   |                                       |   |                        |             |
|   |   |                   |              |   |                                       | UBTOTAL=                                | \$                     |             |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).   |   |                   |              | \$ 130.00                                   |                                       |   |                        |             |
| TOTAL NATIONAL FEE=   |   |                   |              |   | \$ 1,030.00                           |   |                        |             |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. +   |   |                   |              |   | \$                                    |   |                        |             |
| TOTAL FEES ENCLOSED=  |   |                   |              |   | \$ 1,030.00                           | † · · · · · · · · · · · · · · · · · · · |                        |             |
|   |   |                   |              |   |                                       |   | Amount to be refunded: | \$          |
|   |   |                   |              |   |                                       |   | Amount to be charged   | \$          |

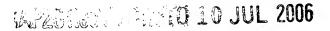
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| a. ⊠   | A check in the amount of \$1,030.00 to cover the above fees is enclosed.   | 6.00  |  |  |  |  |  |
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| c. 🛛   | The Commissioner is hereby authorized to charge any additional fees which mate to Deposit Account No. <u>08-0750</u> . A duplicate copy of this sheet is enclosed. | y be required, or credit any overpayment                            |  |  |  |  |  |
| d. 🗆   | Fees are to be charged to a credit card. WARNING: Information on this form mainformation should not be included on this form. Provide credit card information      | ay become public. Credit card ation and authorization on PTO-2038.  |  |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. |  |   |  |  |  |  |  |
| Harness<br>7700 Bo<br>St. Louis<br>T: 314-7  | aLL CORRESPONDENCE TO:  5, Dickey & Pierce, P.L.C.  9nhomme, Suite 400  5, MO 63105  26-7500  26-7501  | James C. Forbes  James C. Forbes  NAME  39,457  REGISTRATION NUMBER |  |  |  |  |  |

Application Serial No. TBA

Attorney Docket No. 6102-000013/US/NP

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PCT Publ. No. PCT/EP2004/014656 (33 pgs)

English language translation of International Appl. (25 pgs)

Preliminary Amendment A (8 pgs)

Application Data Sheet (1 pg)

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